

NCI Community Oncology Research Program – Kansas City (NCORP-KC)
Financial Conflict of Interest Disclosure Form-I

The following statements apply to you or your spouse or dependent children.

U.S. Department of Health and Human Services (HHS) Final Rule on Financial Conflict of Interest Regulations defines **Significant Financial Interest** as:

(1) A financial interest consisting of one or more of the following interests of the Investigator/Individual involved with oversight of research activities (and those of the Investigator's/Individual's spouse and dependent children) that reasonably appears to be related to the Investigator's/Individual's institutional responsibilities:

(a) With regard to any **publicly traded entity**, a significant financial interest exists if the value of any remuneration received from the entity in the twelve months preceding the disclosure and the value of any equity interest in the entity as of the date of disclosure, when aggregated, **exceeds \$5,000**. For purposes of this definition, remuneration includes salary and any payment for services not otherwise identified as salary (*e.g.*, consulting fees, honoraria, paid authorship); equity interest includes any stock, stock option, or other ownership interest, as determined through reference to public prices or other reasonable measures of fair market value;

(b) With regard to any **non-publicly traded entity**, a significant financial interest exists if the value of any remuneration received from the entity in the twelve months preceding the disclosure, when aggregated, **exceeds \$5,000**, or when the Investigator/Individual involved with oversight of research activities (or the Investigator's/Individual's spouse or dependent children) holds any equity interest (*e.g.*, stock, stock option, or other ownership interest); or

(c) **Intellectual property** rights and interests (*e.g.*, patents, copyrights), upon receipt of income related to such rights and interests.

(2) Investigators/Individuals involved with oversight of research activities also must disclose the occurrence of any **reimbursed or sponsored travel** (*i.e.*, that which is paid on behalf of the Investigator/Individual involved with oversight of research activities and not reimbursed to the Investigator/Individual involved with oversight of research activities so that the exact monetary value may not be readily available), related to their institutional responsibilities; provided, however, that this disclosure requirement does not apply to travel that is reimbursed or sponsored by a Federal, state, or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an

Institution of higher education. NCORP-KC FCOI policy will specify the details of this disclosure, which will include, at a minimum, the purpose of the trip, the identity of the sponsor/organizer, the destination, and the duration. In accordance with the

NCORP-KC FCOI policy, the NCORP-KC FCOI compliance committee will determine if further information is needed, including a determination or disclosure of monetary value, in order to determine whether the travel constitutes an FCOI with the Public Health Service-funded research.

Significant financial interest does not include the following types of financial interests: salary, royalties, or other remuneration paid by the Institution to the Investigator/ Individual involved with oversight of research activities if the Investigator/Individual involved with oversight of research activities is currently employed or otherwise appointed by the Institution, including intellectual property rights assigned to the Institution and agreements to share in royalties related to such rights; any ownership interest in the Institution held by the Investigator/Individual involved with oversight of research activities, if the Institution is a commercial or for profit organization; income from investment vehicles, such as mutual funds and retirement accounts, as long as the Investigator/Individual involved with oversight of research activities does not directly control the investment decisions made in these vehicles; income from seminars, lectures, or teaching engagements sponsored by a Federal, state, or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education; or income from service on advisory committees or review panels for a Federal, state, or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education.

Do you have any “Significant Financial Interest” as an Investigator/ Individual involved with oversight of research activities in clinical research activities conducted by NCORP-KC as defined above?

_____ **Yes** **No** _____

If yes, please sign below and complete Form FCOI Disclosure- II.

Name : _____

Signature: _____

Date _____